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3752

PTO/SB/21 REV 1 (12/97)

Approved for use through 09/30/2000. omb 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/846,994
	Filing Date	May 1, 2001
	First Named Inventor	Richard E. Hunter
	Group Art Unit	3752
	Examiner Name	Davis D. Hwu
Total Number of Pages in This Submission	Attorney Docket Number	0095-194

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Checklist and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <u>POSTCARD</u>
Remarks: Transferred herewith in response to the first Office Action mailed September 11, 2002 are the following: <i>Amendment</i> , and a return postcard. No additional fee is believed to be required.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	(Atty) Michael H. Jester Reg. No. 28,022 The Law Offices of Michael H. Jester
Signature	<i>[Handwritten Signature]</i>
Date	Oct 9, 2002

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Honorable Commissioner for Patents, Washington, D.C. 20231 on this date: October 9, 2002			
Typed or printed name	Michael H. Jester		
Signature	<i>[Handwritten Signature]</i>	Date	10/9/02



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent application of:

Richard E. Hunter et al.

Serial No.: 09/846,994

Filed: May 1, 2001

For: *Rotor Type Sprinkler with
Turbine Over-Spin Prevention*

Examiner: Davis D. Hwu

Group Art Unit: 3752

#6/a
12/13/02
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AMENDMENT

BOX AMENDMENT - NO FEE
Honorable Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the first Office Action mailed September 11, 2002, please make the following changes to the above-captioned patent application:

In the Claims

Please revise Claim 14 as follows in clean form per 37 CFR §1.121(c)(1)(i). An annotated version of all claim amendments made herein is separately attached hereto in accordance with 37 CFR §1.121(c)(1)(ii).

1 Sub 14. (Once Amended) The sprinkler of Claim 11 where in the brake locks the turbine
2 against rotation.